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PTO/SB/21 (09-04) Approved for use through 07/31/2006. OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE rk Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. **Application Number** 10/749,529 Filing Date TRANSMITTAL December 30, 2003 First Named Inventor **FORM** Yuegang ZHANG Art Unit 1743 (to be used for all correspondence after initial filing) **Examiner Name** B. J. Sines Attorney Docket Number Total Number of Pages in This Submission 4 070702006000 ENCLOSURES (Check all that apply) After Allowance Communication Fee Transmittal Form Drawing(s) Appeal Communication to Board of Fee Attached Licensing-related Papers Appeals and Interferences Appeal Communication to TC Amendment/Reply Petition (Appeal Notice, Brief, Reply Brief) Petition to Convert to a After Final **Proprietary Information Provisional Application** Power of Attorney, Revocation Affidavits/declaration(s) Status Letter Change of Correspondence Address Other Enclosure(s) (please Extension of Time Request Terminal Disclaimer Identify below): Form PTO SB/08a/b (1 page)

Express Abandonment Request Request for Refund Return Receipt Postcard x Information Disclosure Statement CD, Number of CD(s) Certified Copy of Priority Landscape Table on CD Document(s) Reply to Missing Parts/ Remarks Incomplete Application Reply to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm Name **MORRISO** N& FOERSTER LLP Signature Printed name Raj S. Da∀e' Date Reg. No. November 21, 42,465



(PATENT)

Docket No.: 070702006000

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of: Yuegang ZHANG et al.

Serial No.: 10/749,529

Filing Date: December 30, 2003

BIOSENSOR UTILIZING A

RESONATOR HAVING A

FUNCTIONALIZED SURFACE

Examiner: B. J. Sines

Group Art Unit: 1743

SUPPLEMENTAL INFORMATION DISCLOSURE **STATEMENT UNDER 37 C.F.R. § 1.97 & 1.98**

MS Amendment Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

Dear Sir:

Pursuant to 37 C.F.R. § 1.97 and § 1.98, Applicants submit for consideration in the above-identified application the document listed on the attached Form PTO/SB/08a/b. The Examiner is requested to make this document of record.

The document listed on the attached Form PTO/SB/08a/b was cited in Office Actions mailed on November 13, 2006, directed to related U.S. applications 11/317,518 and 11/317,405, respectively, and have not been previously cited. A certification under 37 C.F.R. § 1.97(e)(2) follows:

I hereby certify that no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this Information Disclosure Statement.

This Supplemental Information Disclosure Statement is submitted after receipt of a first Office Action on the merits but before mailing of a final Office Action or Notice of Allowance. A Certification under 37 C.F.R. § 1.97(e) is provided above; accordingly; no fee is believed to be due.

Applicants would appreciate the Examiner initialing and returning the Form PTO/SB/08a/b, indicating that the information has been considered and made of record herein.

The information contained in this Supplemental Information Disclosure Statement under 37 C.F.R. § 1.97 and § 1.98 is not to be construed as a representation that: (i) a complete search has been made; (ii) additional information material to the examination of this application does not exist; (iii) the information, protocols, results and the like reported by third parties are accurate or enabling; or (iv) the above information constitutes prior art to the subject invention.

In the unlikely event that the transmittal form is separated from this document and the Patent and Trademark Office determines that an extension and/or other relief (such as payment of a fee under 37 C.F.R. § 1.17 (p)) is required, Applicants petition for any required relief including extensions of time and authorize the Commissioner to charge the cost of such petition and/or other fees due in connection with the filing of this document to **Deposit Account No. 03-1952** referencing <u>070702006000</u>.

Dated: November 21, 2006

Respectfully submitted,

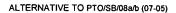
Raj S. Dave'

Registration No.: 42,465

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Substitute for form 1449/PTO			-	Complete if Known		
Suc	ostitute for form 1449/P1O			Application Number	10/749,529	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT				Filing Date	December 30, 2003	
				First Named Inventor	Yuegang ZHANG	
				Art Unit	1743	
(Use as many sheets as necessary)			necessary)	Examiner Name	B. J. Sines	
Sheet	1	of	1	Attorney Docket Number	070702006000	

U.S. PATENT DOCUMENTS						
Examiner Initials*	Cite No.1	Document Number	Publication Date MM-DD-YYYY		Pages, Columns, Lines, Where	
		Number-Kind Code ² (if known)			Relevant Passages or Relevant Figures Appear	
	1.	5,910,286-A	06-08-1999	LIPSKIER		

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	
	No.1	Country Code ³ -Number ⁴ -Kind Code ⁵ (if known)		A I' A - C O'A - A D A		T ⁶

*EXAMINER: Initial if information considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language

NON PATENT LITERATURE DOCUMENTS					
Examiner Initials	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²		
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Examiner	Date	
Signature	Considered	

^{&#}x27;Applicant's unique citation designation number (optional). ²Applicant is to place a check mark here if English language Translation is attached.